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| **Pre-Allocation of UINs** |
| **Application Form** |
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| This form may be completed by any commercial aircraft lessor or trustee on its behalf (the applicant) requesting pre-allocation of one or more GATS trust unique identification numbers (UINs) for use in the paper based GATS forms during the transitional period, in order to avoid a change to the name of the trust upon migration onto the electronic GATS platform. NOTE: Business aircraft participants (if not also a lessor of commercial aircraft) may not use this form, and should enquire through their trustee. |
| **\* \* \*** |
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| Date of application: | Click here to enter date. | Number of requested UINs: | # |
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| Commercial aircraft lessor | Check box if AWG member: |[ ]
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| Name: | Click here to enter organisation name. |
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| Primary email contact: | Click here to enter email address. |
|  |  |
| Secondary email contact: | Click here to enter email address. |
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| Trustee (for trustee applicants or non-AWG members only) |  |
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| Name: | Click here to enter organisation name. |
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| Primary email contact: | Click here to enter email address. |
|  |  |
| Secondary email contact: | Click here to enter email address. |
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| **Notes:** |
| (1) Once completed by the applicant, this application form should be sent to GATS-UIN-AWG@wfw.com from one of the commercial aircraft lessor’s or trustee’s contact email addresses listed above. Only two email addresses may be listed for each entity. Emails from any other email addresses may be ignored. No UIN pre-allocated to one lessor may be used by its named trustee for another lessor; instead, such UIN should be cancelled (see note (4) below) and a fresh application form submitted. |
| (2) UINs will not be pre-allocated to non-AWG members unless the above named trustee confirms, by email from one of the trustee’s contact email addresses listed above, that the lessor has passed all of the trustee’s ‘know your customer’, anti-money laundering and other compliance checks in accordance with its internal policies and procedures. |
| (3) AWG reserves the right to refuse to pre-allocate UINs to any person for any reason, including if the named trustee has not demonstrated to the satisfaction of AWG that it maintains and effectively applies ‘know your customer’, anti-money laundering, and other legal requirements and obligations that would need to be satisfied in its jurisdiction of organisation substantively equivalent to those applicable in that jurisdiction to persons regulated as a bank acting as a professional trustee. |
| (4) The lessor or trustee may, by written notice sent to AWG at GATS-UIN-AWG@wfw.com, cancel any UINs pre-allocated to it. Any UIN not used by a trust recorded on the GATS platform within two years of pre-allocation shall be automatically cancelled. Upon cancellation, a UIN shall become available for pre-allocation to another company. |
| (5) Watson Farley & Williams LLP, as legal advisors to AWG, are facilitating the management of the pre-allocation process, subject to instructions from AWG, without assuming and hereby disclaims any liability to any applicant. No lawyer-client relationship is created solely by the firm accepting or processing this form. |
| **Applicant Agreement and Confirmation:** |
| By submitting this application form to AWG, the applicant agrees to the disclaimer in note (5) above and agrees and confirms to AWG that (a) the UINs pre-allocated to it are intended to be used for the sole purpose of migrating an existing trust owned or managed by it or an affiliate onto the GATS platform, (b) the lessor’s bona fide business, when taken together with that of its affiliates and serviced companies, is or includes the leasing of commercial aircraft, and (c) it shall not sell or attempt to sell the right to use any UIN to any other person, except pursuant to a bona-fide transfer of the beneficial interest in an existing trust constituted using the GATS transitional forms whose trust name contains such UIN. The applicant agrees to notify AWG at GATS-UIN-AWG@wfw.com of any such transfer, together with the details of the transferee. No transfer of rights to a UIN as such is permitted. |